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PATENT

Attorney Docket No. 72967

MP  
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Winter et al.

Appln No.: 10/071,678

Filed: February 7, 2002

For: METHOD AND APPARATUS FOR  
SWATCH-BEARING SHEETS

Group Art

Unit: 1733

Examiner: N/A

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

05/13/02

Date

Jon A. Birmingham

Registration No. 51,222

Attorney for Applicant(s)

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Box MISSING PARTS**

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated March 11, 2002, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☒ 22 sheet(s) of drawings are enclosed. ☒ Formal ☐ Informal
- ☐ An Assignment of the invention to \_\_\_\_\_, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment.
- ☐ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

cu

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. §1.16(1)	\$ 50.00	
<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	\$ 740.00
Independent Claims      5 - 3 = 2 x \$ 84.00		= \$ 168.00
Total Claims              32 - 20 = 12 x \$ 18.00		= \$ 216.00
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	\$ 130.00
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ 1,254.00

☒ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \$ 627.00

☐ A check in the amount of \$\_\_\_\_\_ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 627.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

May 13 2002  
Date

  
Jon A. Birmingham  
Registration No. 51,222

FITCH, EVEN, TABIN & FLANNERY  
Suite 1600  
120 South LaSalle Street  
Chicago, Illinois 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007



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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Winter et al.  
Appln. No. 10/071,678  
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For: METHOD AND APPARATUS  
FOR MANUFACTURE OF  
SWATCH-BEARING SHEETS  
Group  
Art Unit: 1733  
Examiner: N/A

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mailing an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

05/13/02  
Date

Jon A. Birmingham  
Registration No. 51,222  
Attorney for Applicants

SUBMISSION OF FORMAL DRAWINGS

Honorable Commissioner of Patents  
and Trademarks  
ATTN: Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Please substitute the enclosed twenty-two (22) sheets of formal drawings including Figures 1-33 for those currently on file.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

Jon A. Birmingham  
Registration No. 51,222

Date: May 13, 2002  
120 South LaSalle Street  
Suite 1600  
Chicago, Illinois 60603-3406  
Telephone: (312) 577-7000  
Facsimile: (312) 577-7007

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MAY 31 2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,678	02/07/2002	Steven B. Winter	72967

22242

FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 60603-3406

CONFIRMATION NO. 4034

FORMALITIES LETTER



\*OC000000007614728\*

Date Mailed: 03/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/03/2002 TBESHAH1 00000020 061135 10071678

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH  
02 FC:203 108.00 CH  
03 FC:202 84.00 CH  
04 FC:205 65.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$192.
  - \$108 for 12 total claims over 20.
  - \$84 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 627.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

308-1202-023102

*A copy of this notice MUST be returned with the reply.*

C. D. Harrison

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE